J.FW

Attorney Docket No. 5573-123DV

**PATENT** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In res Whittle et al.

Confirmation No.: 9050

Filed: August 28, 2003

For: FT-Raman Spectroscopic Measurement

Date: May 3, 2004

Office of Patent Legal Administration Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### PETITION TO ACCORD THE PROPER FILING DATE

Sir:

As set forth in 37 CFR § 1.10(c) and MPEP § 506.02, Applicants hereby petition to grant the proper filing date of <u>August 28, 2003</u> rather than August 29, 2003 for the above-identified patent application as this was the date the application was deposited as "Express Mail" in the U.S. Postal Service. The following is enclosed as evidence of <u>August 28, 2003</u> being the correct filing date for the application, which was properly filed under 37 C.F.R. § 1.10:

- 1. A true and accurate copy of the Cover Sheet for the Application showing the Express Mail signature and date on Page 2;
- 2. A true and accurate copy of the Express Mail Receipt showing "acceptance" or deposit date with the USPS on <u>August 28, 2003</u>; and
- 3. A true and accurate copy of our return post card and of the official filing receipt for this application, sent by the United States Patent and Trademark Office, showing that Applicants were improperly given the filing date of August 29, 2003 instead of the Express Mail date of August 28, 2003.

This petition is being filed promptly after Applicants became aware of the improperly accorded filing date. Accordingly, Applicants respectfully request the granting of <u>August 28</u>, 2003 as the filing date pursuant to 37 CFR § 1.10.

On the basis that Applicants properly followed the requirements of 37 CFR § 1.10 and the USPTO failed to accord the proper filing date under MPEP § 505 and thus the need to file this petition is due to error on the part of the USPTO, it is applicants' belief that no fee is due

In re: Whittle et al. Serial No.: 10/651,225 Filed: August 28, 2003 Page 2

with this petition. However, the Commissioner is authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

Respectfully submitted,

Jarett K. Abramson Attorney For Applicants Registration No. 47,376

### **USPTO Customer No. 20792**

Myers Bigel Sibley & Sajovec Post Office Box 37428 Raleigh, North Carolina 27627 Telephone: 919/854-1400

Facsimile: 919/854-1401

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Katie A. Chung



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.: 5573-123DV

DATE: August 28, 2003

### UTILITY PATENT APPLICATION TRANSMITTAL LETTER AND FEE TRANSMITTAL FORM (37 CFR § 1.53(b))

Mail Stop PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Sir: Transmitted herewith for filing under 37 CFR § 1.53(b) is: a patent application a Continuation a Divisional a Continuation-in-Part (CIP) Application of prior application no.: 09/649.447; filed August 25, 2000, the disclosure of which is incorporated herein by reference in its entirety. and claims the benefit of Provisional Application No. 60/150.878; filed August 26, 1999. Inventor(s) or Application Identifier: Robert R. Whittle Frederick D. Sancilio Grayson Walker Stowell Entitled: FT-Raman Spectroscopic Measurement Myers Bigel Sibley & Sajovec, P.A. P. O. Box 37428 Raleigh, North Carolina 27627 Telephone: (919) 854-1400 Facsimile: (919) 854-1401 Customer No. 20792 Enclosed are: 1. Application Transmittal Letter and Fee Transmittal Form (A duplicate is enclosed for fee processing) 2. 25 pages of Specification (including 2 claims) 3. 3 sheets of Formal Drawings (35 USC 113) 4. Oath or Declaration a. newly executed (original or copy) copy from prior application (37 CFR 1.63(d) (for continuation/divisional) [Note Box 6 Below] DELETION OF INVENTOR(S) (Signed statement deleting inventor(s) named in the prior application)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under

5. Application Data Sheet. See 37 CFR 1.76
6. Incorporation By Reference (useable if box 4b is checked)

	Box 4b, is considered as being part of the	disclosure of the	ne accompany	ing application and	l is hereby incorporated
	by reference therein.				
7.	Microfiche Computer Program (Appendix)				
8, 🗵	Assignment papers (cover sheet(s) and document(s)) Filed in Prior Application, Copy enclosed				
9.	Applicant claims small entity statu	is. See 37 CI	FR § 1.27.		
10.	Information Disclosure Statement,	PTO-1449,	and 0 refere	nces cited	
11. 🛛	Preliminary Amendment (Please enter all claim amendments prior to calculating the filing fee.)				
12.	English Translation Document				
13.	Certified Copy of Application	on No.	; Filed		
14.	Sequence Listing/ Sequence Listing	g Diskette			·
a.	computer readable copy				
b.	paper copy		_		•
€.	statement in support				
15. 🗀	An Associate Power of Attorney			•	
16. 🛚	Return Receipt Postcard (MPEP 50	03) (Should be	specifically ite	emized)	•
17.	Other:				
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INDEP CLAIMS	1 - 3 =	0	x 42 = \$		x 84 =	\$
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a. 🔀 Add	itional filing fees un	der 37 CFR 1.16 for p	resentation of ext	ra claims.
b. 🔀 Add	itional patent applica	ation processing fees u	inder 37 CFR 1.1°	7.

Respectfully submitted,

F. Michael Sajovec Registration No. 31,793

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I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to: Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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J.FW

Attorney Docket No. 5573-123DV

<u>PATENT</u>

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In re: Whittle *et al.*Serial No.: 10/651,225
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Page 2

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The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under

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		Box 4b, is considered as being	g part of the disclosure of	the accompanying applic	ation and is hereby incorporated	
		by reference therein.				
7.		Microfiche Computer Program (Appendix)				
8.	$\boxtimes$	Assignment papers (cover	Assignment papers (cover sheet(s) and document(s)) Filed in Prior Application, Copy enclosed			
9.		Applicant claims small en	ntity status. See 37 C	FR § 1.27.		
10.	$\boxtimes$	Information Disclosure Statement, PTO-1449, and 0 references cited				
11.	$\boxtimes$	Preliminary Amendment (Please enter all claim amendments prior to calculating the filing fee.)				
12.		English Translation Docu	ument			
13.		Certified Copy of	Application No.	; Filed		
14.		Sequence Listing/ Sequen	nce Listing Diskette			
	a.	computer readable copy			•	
	b.	paper copy			•	
	<u>c.</u>	statement in support				
15.		An Associate Power of A	ttorney	•		
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	Column 1	Column 2	Small	Entity	Larg	e Entity
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TOTAL CLAIMS	2 - 20 =	0	x 09 = \$		x 18 =	\$
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	Recordation fee (\$40.00).
	Please charge my Deposit Account No. 50-0220 in the amount of \$ .
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	associated with this communication to Deposit Account No. 50-0220:
	a. Additional filing fees under 37 CFR 1.16 for presentation of extra claims.
	b. Additional patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

F. Michael Sajovec Registration No. 31,793

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MYERS BIGE	EL SIBLEY & SAJOVEC, P.A.
08/29/03 Attorneys for	Applicant





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10/651.225 08/29/2003

PO BOX 37428 RALEIGH, NC 27627

20792



**CONFIRMATION NO. 9050** 

**FILING RECEIPT** 

\*QC000000012435545\*

Date Mailed: 04/23/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

### Applicant(s)

Robert R. Whittle, Wilmington, NC; Frederick D. Sancilio, Wilmington, NC; Grayson Walker Stowell, Wilmington, NC;

### Domestic Priority data as claimed by applicant

This application is a DIV of 09/649,447 08/25/2000 which claims benefit of 60/150,878 08/26/1999

### Foreign Applications

If Required, Foreign Filing License Granted: 04/22/2004

Projected Publication Date: To Be Determined - pending completion of Omitted Items

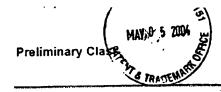
Non-Publication Request: No

Early Publication Request: No

Title

FT-Raman spectroscopic measurement

04-26-04 A10:15 IN



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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).